

SECTOR

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Badri N. KRISHNAMURTHY et al.

Serial No. 09/928,474

APPLICATIONS BRANCH

Filed: August 14, 2001

For: EXPERIMENT MANAGEMENT SYSTEM, METHOD AND MEDIUM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Honorable Commissioner for Patents Washington D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated September 17, 2001, submitted herewith are the following documents for filing in the above-referenced application:

- 1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
- 2. Assignment and Recordation Form Cover Sheet
- 3. Copy of Notice to File Missing Parts of Nonprovisional Application

Please charge Deposit Account No. 08-0219 in the amount of \$1246.00 (Statutory Basic Filing Fee of \$710.00, Late Filing Fee Surcharge of \$130.00, Additional Claim Fees of \$366.00, and Assignment Fee of \$40.00). To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 08-0219 and please credit any excess fees to such deposit account.

- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy is enclosed.
 - [X] Any additional filing fees required under 37 CFR 1.16.

- [X] The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed.
 - [X] Any patent application processing fees under 37 CFR 1.17.
 - [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

It is requested that the official filing receipt now be issued.

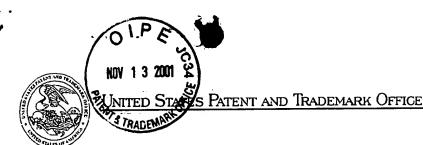
Respectfully submitted,

HALE AND DORR LLF

Cynthia K. Nicholson Registration No. 36,880

The Willard Office Building 1455 Pennsylvania Avenue, NW Washington, DC 20004 202 942 8400 SMA:CKN:lrr

Date: *Nov. 13, 200 1* 202 942 8484 facsimile



11-14-01

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/928,474

08/14/2001

Badri N. Krishnamurthy

6301/Consilium/DV

CONFIRMATION NO. 6441

FORMALITIES LETTER

OC000000006565328*

PATENT COUNSEL APPLIED MATERIALS, INC. Legal Affairs Department P.O. BOX 450A Santa Clara, CA 95052

RECD SEP 2 5 2001

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/14/2001 TGEDAMU1 00000046 080219

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FILED UNDER 37 CFR 1.53(b)

740.00 CH 02 FC:105 03 FC:102 04 FC:103 130.00 CH 252.00 CH

Filing Date Granted

126.00 CH An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$366.

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- \$126 for 7 total claims over 20.
- \$240 for 3 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1206.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE